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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/885,039	
	Filing Date	06/21/2001	
	First Named Inventor	Michael Chen	
	Group Art Unit		
	Examiner Name		
Total Number of Pages in This Submission	6	Attorney Docket Number	AVIP0015 USA

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	1. A copy of notice to file missing parts of nonprovisional application. (1 page) 2. A copy of notice to submit the filing fee, surcharge and returned check charge. (3 pages)	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	WINSTON HSU
Signature	Winston Hsu
Date	9/19/2001

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <input type="text"/>		
Typed or printed name		
Signature		Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (09-00)

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)

930

## Complete if Known

Application Number	09/885,039
Filing Date	06/21/2001
First Named Inventor	Michael Chen
Examiner Name	
Group Art Unit	
Attorney Docket No.	AVIP0015USA

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number 50-0801
- Deposit Account Name North America International Patent Office
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☒ Payment Enclosed:
- ☐ Check ☐ Credit card ☒ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Code (\$)	Fee (\$)	Code (\$)	Fee (\$)		
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)

710

## 2. EXTRA CLAIM FEES

Total Claims 20 Extra Claims 0 Fee from below 0 Fee Paid 0

Independent Claims 1 Fee 0 Fee Paid 0

Multiple Dependent 1 Fee 0 Fee Paid 0

Large Entity		Small Entity		Fee Description
Code (\$)	Fee (\$)	Code (\$)	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)

0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Code (\$)	Fee (\$)	Code (\$)	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application*	

Other fee (specify) returned check charge

50

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

220

## SUBMITTED BY

Name (Print Type)	WINSTON HSU	Registration No. (Attorney/Agent)	41,526	Telephone	886-2-8923-7350
Signature	<u>Winston Hsu</u>	Date	9/19/2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/885,039	09/21/2001	Michael Chen	AVIP0015USA



CONFIRMATION NO. 3626

## FORMALITIES LETTER



\*OC000000006444187\*

WINSTON HSU  
5F, No. 389  
Fu-Ho Road  
Tung-Ho City, Taipei Hsien, 234  
TAIWAN

Date Mailed: 08/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE SANDARA 00000005 09085839

01 FC:101	710.00 OP
02 FC:105	130.00 OP
03 FC:617	50.00 OP



UNITED STATES  
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UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231

[www.uspto.gov](http://www.uspto.gov)

July 24, 2001

United World Chinese Commercial Bank  
Taipei, Taiwan  
Republic of China

Serial Number - 09/885039

The purpose of this communication is to inform you that your check number 217319029 dated, June 19, 2001 has been returned by the Bank. It was unpaid. The check was in the amount of \$750.00

The practice of the Office is to treat such returned checks as though the fee for which they were intended was never received. Therefore, the applicant is required to submit the following fee (s):

- X \$750.00 - filing fee & claims
- X \$130.00 - surcharge
- X \$ 50.00 - returned check charge

Note: Any fee amount that is paid on or after October 1, 2000 is subject to the new fee schedule.

A shortened statutory period for response to the requirements of this notice is set to expire Two Months or Sixty days, whichever is longer, from the date of this notice.



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UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231

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Failure to respond within this period will result in the application becoming  
abandoned. Under rule 35 USC 133.

THE FILING RECEIPT WILL NOT BE MAILED OUT UNTIL ALL FEES ARE  
PAID. IF THE FILING RECEIPT HAS ALREADY BEEN MAILED OUT, IT IS  
VOID IF ALL THE FEES ARE NOT PAID IN A TIMELY MANNER.

Further correspondence with respect to this matter should include a copy of this  
notice and be addressed to the following:

Commissioner of Patents and Trademarks  
Box 17  
Washington, DC 20231

Telephone Inquiries should be directed to the office of Initial Patent Examination  
709 (308-3642)

Eleanor F. Kurtz  
Office of Initial Patent Examination

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**世華聯合商業銀行**

10-96  
220

CHECK NO. **217319029**  
CLIENT NO. **85004**

DATE **JUNE 19, 2001**

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**09/885039**

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MEMO/REF: AFFIND-00187-9

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